



IFW

Attorney Docket No. 1454.1719

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Robert EBERL et al.

Application No.: 10/579,236

Group Art Unit: Unassigned

Filed: May 12, 2006

Examiner: Unassigned

For: METHOD AND NETWORK ELEMENT FOR DISTRIBUTING AND DIRECTING DATA PACKETS DURING A HANDOVER OF A MOBILE TRANSCEIVER STATION FROM A FIRST RADIO CELL TO A SECOND RADIO CELL WITHIN A MOBILE COMMUNICATION NETWORK

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure provisions of 37 CFR § 1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application.

1. Enclosures accompanying this Information Disclosure Statement are:

- 1a.  Form PTO-1449.
- 1b.  Copy(ies) of IDS citation(s), except for U.S. Patents and U.S. Patent Application publications.
- 1c.  English language copy of a communication(s) from a foreign Patent Office or a PCT International Search Report.
- 1d.  English language translation (complete, Abstract or relevant portion(s)) attached to non-English language publications as indicated on the attached Form PTO-1449.
- 1e.  Explanations of Relevancy of References (ATTACHMENT 1(e), hereto) for providing a concise explanation of non-English publications.
- 1f.  List of Copending Applications (ATTACHMENT 1(f), hereto).
- 1g.  List of Additional Submitted Documents (ATTACHMENT 1(g), hereto).

2.  This Information Disclosure Statement is filed under 37 CFR § 1.97(b):

*(Check either Item 2a or 2b or 2c or 2d)*

- 2a.  Within three months of the filing date of a national application;
- 2b.  Within three months of the date of entry of the national stage as set forth in § 1.491 in an international application.
- 2c.  Before the mailing of a first Office Action on the merits; or
- 2d.  Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114.

3.  This Information Disclosure Statement is filed under 37 CFR § 1.97(c) after the period specified in paragraph 2 above but before the mailing date of any of a Final Office Action under § 1.113, a Notice of Allowance under § 1.311 or an action that otherwise closes prosecution in the application, AND

*(Check either Item 3a or 3b; Item 3b to be checked if any reference known for more than 3 months)*

3a.  The § 1.97(e) Statement in Item 5 below is applicable; OR  
3b.  The \$180.00 fee set forth in 37 CFR § 1.17(p) is:  
     enclosed.  
     to be charged to Deposit Account No. 19-3935.

4.  This Information Disclosure Statement is filed under 37 CFR § 1.97(d) after the period specified in paragraph 3 above, but on or before payment of the Issue Fee, AND

4a.  The § 1.97(e) Statement in Item 5 below is applicable; AND  
4b.  The \$180.00 fee set forth in 37 CFR § 1.17(p) is:  
     enclosed.  
     to be charged to Deposit Account No. 19-3935.

5.  Statement under § 1.97(e) (*applicable if Item 3a or Item 4a is checked*)

*(Check either Item 5a or 5b)*

5a.  In accordance with 37 CFR § 1.97(e)(1), it is stated that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.  
5b.  In accordance with 37 CFR § 1.97(e)(2), it is stated that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known by any individual designated in § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

6.  This is a continuation/divisional/continuation-in-part application under 37 CFR § 1.53(b).

*(Check appropriate Items 6a and/or 6b)*

6a.  Copies of the publications listed on the attached Form PTO-1449 which were previously cited in prior application Serial No. \_\_\_, filed on \_\_\_, and which is relied on for an earlier effective filing date for the subject application under 35 U.S.C. § 120, have been omitted pursuant to 37 CFR § 1.98(d).  
6b.  Copies of the publications listed on the attached Form PTO-1449 which were not previously cited in prior application Serial No. \_\_\_, filed on \_\_\_, and which is relied on for an earlier effective filing date for the subject application under 35 U.S.C. § 120, are provided herewith.

7.  This is a Request for Continued Examination under 37 CFR § 1.114.

*(Check either Item 7a or 7b)*

7a.  The Issue Fee has not been paid.

7b.  A Petition to Withdraw from issue under 37 CFR § 1.313(c) is filed concurrently herewith or has been granted. A Request for Continued Examination under 37 CFR § 1.114, after payment of the Issue Fee, is proper in accordance with 37 CFR § 1.114(a), respectively.

8.  This is a Supplemental Information Disclosure Statement.

*(Check either Item 8a or 8b)*

8a.  This Supplemental Information Disclosure Statement under 37 CFR § 1.97(f) supplements the Information Disclosure Statement filed on \_\_\_. A bona fide attempt was made to comply with 37 CFR § 1.98, but inadvertent omissions were made. These omissions have been corrected herein. Accordingly, additional time is requested so that this Supplemental IDS can be considered as if properly filed on \_\_\_.

8b.  This Supplemental Information Disclosure Statement is timely filed within one (1) month of the Notice under 37 CFR §§ 1.97 and 1.98, mailed \_\_\_.

9.  In accordance with 37 CFR § 1.98, a concise explanation of what is presently understood to be the relevance of each non-English language publication is:

*(Check appropriate Items 9a, 9b, 9c and/or 9d)*

9a.  satisfied for the non-English language publication(s) cited on the enclosed "English language version of the search report or action which indicates the degree of relevance found by the foreign office". (See MPEP § 609, Minimum Requirements for an Information Disclosure Statement, Part A(3): Concise Explanation of Relevance, 8th Ed., Rev. 2)

9b.  set forth in the application.

9c.  satisfied for the non-English language publication(s) indicated on the attached Form PTO-1449 as having an English language translation (complete or relevant portion(s)) attached thereto.

9d.  enclosed as Attachment 1(e), hereto.

10. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith). 37 CFR §§ 1.97(g) and (h).

11. The Commissioner is authorized to credit any overpayment or charge any additional fee required under 37 CFR § 1.17 for this Information Disclosure Statement to Deposit Account No. 19-3935.

Respectfully submitted,

STAAS & HALSEY LLP

Dated: July 26 2006  
1201 New York Ave., N.W., 7th Floor  
Washington, D.C. 20005  
Telephone: (202) 434-1500  
Facsimile: (202) 434-1501

By: M J Henry  
Mark J. Henry  
Registration No. 36,162



PTO/SB/08a (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO				<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application Number	Not Yet Assigned
(use as many sheets as necessary)				Filing Date	Not Yet Assigned
				First Named Inventor	Robert Eberl
				Art Unit	Not Yet Assigned
				Examiner Name	Not Yet Assigned
Sheet	1	of	2	Attorney Docket Number	2003P17138WOUS / SRF / KY

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

She

of 2

## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
	4	EP 1 079 653 A1	02-28-2001	Lucent Tech. Inc.		
	5	WO 01/30107 A	04-26-2001	Telefonaktiebolag et LM Ericsson		
	6	WO 02/13565 A	02-14-2002	Siemens AG; Christian Menzel; Jean-Michel Traynard		
	7	DE 100 39 193 A1	02-21-2002	Siemens AG		
	8	EP 1 328 133 A2	07-16-2003	Nokia Corp.		
	9	DE 102 43 142 A1	03-18-2004	Siemens AG		

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the complexity of the application.

individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/08b(05-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449A/PTO				Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application Number	Not Yet Assigned
(use as many sheets as necessary)				Filing Date	Not Yet Assigned
				First Named Inventor	Robert Eberl
				Group Art Unit	Not Yet Assigned
				Examiner Name	Not Yet Assigned
Sheet	2	of	2	Attorney Docket Number	2003P17138WOUS / SRF / KY

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
	10	Universal Mobile Telecommunications System (UMTS); Handovers for real-time services from PS domain (3GPP TR 25.936 version 4.0.1 Release 4); ETSI TR 125 936" ETSI Standards, European Telecommunications standards Institute, Sophia-Antipolis, FR, vol. 3-R3, no. V401, Dec. 2001, XP014005123, ISSN: 0000-0001, p. 10, Paragraph 6.1.1 – page 14, paragraph 1.1.1.1, page 19, paragraph 6.2.2 – page 22, paragraph 6.2.2.2, figures 1-4, 10-12, 14		
	11	Ericsson: "PS Handover in A/Gb mode Signalling", 3GPP TSG Geran SA2 Meeting OB A-GB Evolution, TDOC AHAGB-043, "Onlinet, 22. Oct. 2002, p. 1-18, XP002318271, Retrieved from the Internet: URL: <a href="http://www.3gpp.org/ftp/tsg_geran/TSG_Geran/AD-HOCs/A_Gb_Evolution/">http://www.3gpp.org/ftp/tsg_geran/TSG_Geran/AD-HOCs/A_Gb_Evolution/</a> 'retrieved on 2005-02-18', p. 6, paragraph 6.1.3 – p. 7, paragraph 6.1.3, p. 10, paragraph 6.2.3-p. 12, paragraph 6.2.3, Figure 6		
	12	Siemens AG: "Packet Forwarding for PS Handover", 3GPP TSG Geran 17, TDOC GP.032538, 'Onlinet Nov. 17, 2003, p. 1-7, XP002318272, Retrieved from the Internet: URL: <a href="http://www.3gpp.org/ftp/tsg_geran/TSG_GERAN/GERAN_17_Budapest/Docs/GP.032538.zip">http://www.3gpp.org/ftp/tsg_geran/TSG_GERAN/GERAN_17_Budapest/Docs/GP.032538.zip</a> 'retrieved on 2005-02-18! p. 1 – p. 5, figure 1		
	13	Siemens AG: "Packet Forwarding for PS Handover", 3GPP TSG Geran 17, TDOC GP.032539, 'Onlinet Nov. 17, 2003, p. 1-24, XP002318273, Retrieved from the Internet: URL: <a href="http://www.3gpp.org/ftp/tsg_geran/TSG_GERAN/GERAN_17_Budapest/Docs/GP.032539.zip">http://www.3gpp.org/ftp/tsg_geran/TSG_GERAN/GERAN_17_Budapest/Docs/GP.032539.zip</a> 'retrieved on 2005-02-18! page 2, paragraph 4.1.3.1 – paragraph 4.1.3.2, p. 7, paragraph 4.1.4.2.1 – p. 14, paragraph 4.1.4.3.2		

Examiner Signature	Date Considered
--------------------	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-


**ATTACHMENT 1(g)**

<b>LIST OF ADDITIONAL SUBMITTED DOCUMENTS</b>	ATTORNEY DOCKET NO.	APPLICATION NO.
	1454.1719	10/579,236
	FIRST NAMED INVENTOR	
	Robert EBERL et al.	
FILING DATE	GROUP ART UNIT	
May 12, 2006	Unassigned	

The following document(s) is/are listed in accordance with the duty of disclosure provisions of 37 CFR § 1.56, so that the Examiner may consider same should he deem any thereof to be material to examination of the subject application.

It is requested that the Examiner acknowledge his consideration of document(s) below-listed by initialling same in the space provided adjacent each such application and that the Examiner sign and date this form at the bottom thereof to confirm such consideration having been given.

**This submission in no way represents an admission that any of the information listed herein constitutes prior art with respect to the subject application; and unless and until such prior art status is established, this submission is not a request that the information presented herein be printed on the face of any patent issuing from the subject application in which this information is being filed.**

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA						
	AB						

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION YES NO
	AC						
	AD						

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

TRANSLATION YES NO

AE	German Search Report mailed October 24, 2004		X
----	--	--	---

EXAMINER	DATE CONSIDERED
----------	-----------------

\*EXAMINER: Initial if document considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.